

## RESEARCH ARTICLE

## Information Analysis of Human Splice Site Mutations

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Splice site nucleotide substitutions can be analyzed by comparing the individual information contents ( $R_i$ , bits) of the normal and variant splice junction sequences [Rogan and Schneider, 1995]. In the present study, we related splicing abnormalities to changes in  $R_i$  values of 111 previously reported splice site substitutions in 41 different genes. Mutant donor and acceptor sites have significantly less information than their normal counterparts. With one possible exception, primary mutant sites with  $<2.4$  bits were not spliced. Sites with  $R_i$  values  $\geq 2.4$  bits but less than the corresponding natural site usually decreased, but did not abolish splicing. Substitutions that produced small changes in  $R_i$  probably do not impair splicing and are often polymorphisms. The  $R_i$  values of activated cryptic sites were generally comparable to or greater than those of the corresponding natural splice sites. Information analysis revealed preexisting cryptic splice junctions that are used instead of the mutated natural site. Other cryptic sites were created or strengthened by sequence changes that simultaneously altered the natural site. Comparison between normal and mutant splice site  $R_i$  values distinguishes substitutions that impair splicing from those which do not, distinguishes null alleles from those that are partially functional, and detects activated cryptic splice sites. *Hum Mutat* 12:153-171, 1998. © 1998 Wiley-Liss, Inc.

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## INTRODUCTION

Mutations at splice sites make a significant contribution to human genetic disease, since approximately 15% of disease-causing point mutations affect pre-mRNA splicing [Krawczak et al., 1992]. Mutations in splice sites decrease recognition of the adjacent exon and consequently inhibit splicing of the adjacent intron [Talerico and Berget, 1990; Carothers et al., 1993]. Splice site mutations may result in exon skipping, activation of cryptic splice sites, creation of a pseudo-exon within an intron, or intron retention [Nakai and Sakamoto, 1994]: 1) Exon skipping, the most frequent outcome, is thought to result from failure of the normal and mutant splice sites to define an exon. 2) Most cryptic mutations activate splice sites of the same type and are typically located within a few hundred nucleotides of the natural site. This distance is probably limited by restrictions on the length of the resultant exon (Hawkins, 1988; Berget, 1995). 3) Occasionally, mutations that are further away from the natural splice site create cryptic sites that are activated in the presence of a nearby cryptic splice site of opposite polarity, producing a novel

noncoding exon within the intron. 4) Splice site mutations in very short or terminal introns can result in intron retention (Dominski and Kole, 1991). In these instances, additional sequence elements may be required for normal splicing (Black, 1991, 1992; Sterner and Berget, 1993).

Essential elements in donor and acceptor splice junctions have been defined by consensus sequences (Mount, 1982) by analysis of nucleotide frequencies at each position in a splice site (Senapathy et al., 1990) and by neural network prediction (Brunak et al., 1990). Each of these methods has limitations. Although the GT and AG positions adjacent to donor and acceptor splice junctions are highly conserved, other positions are more variable (Mount,

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1982; Stephens and Schneider, 1992). The consensus sequence approximates the nucleotide frequencies at each position, and so it excludes the contributions of less frequent nucleotides present in a proportion of natural splice sites. Splice site sequences that deviate from the consensus do not necessarily produce significantly lower amounts of spliced mRNA (Rogan and Schneider, 1995). Training a neural network requires sequences of both binding sites and sequences that are not bound (Stormo et al., 1982; Brunak et al., 1990). Generally, nonbound sequences are taken to be those remaining after binding sites have been identified. However, these sequences do contain functional sites (Schneider, 1997b; Hengen et al., 1997), so neural networks may be inappropriately trained on overlapping data sets.

In contrast, information theory-based models of donor and acceptor splice sites require only functional sites and show which nucleotides are permissible at both highly conserved and variable positions of these sites (Stephens and Schneider, 1992). Information is the only measure of sequence conservation which is additive (Shannon, 1948). The information content ( $R_i$ , in bits) of a member of a sequence family describes the degree to which that member contributes to the conservation of the entire family (Schneider, 1997a,b).  $R_i$  is the dot product of a weight matrix derived from the nucleotide frequencies at each position of a splice site sequence database and the vector of a particular sequence. Individual information is related to thermodynamic entropy and therefore to the free energy of binding (Schneider, 1994, 1997a). Since splice sites are recognized prior to intron excision (Berget, 1995), the sequence of the splice site dictates the strength of the spliceosome-splice junction interaction, and thus splice site use. It is our thesis that the strength of this interaction is related to the information content of the splice junction.

A group of sites with similar sequence and function can be described and quantified by their corresponding distribution of individual information contents. The mean of this distribution of  $R_i$  values is  $7.92 \pm 0.09$  bits for the 10 nucleotide-long splice donor sites and  $9.35 \pm 0.12$  bits for the 28 nucleotide-long acceptor sequences (Stephens and Schneider, 1992; Schneider, 1997a), representing the average amount of information required for splicing,  $R_{sequence}$  (Schneider et al., 1986; Schneider, 1994, 1995). Strong splice sites have  $R_i$  values  $\gg R_{sequence}$ ; weak sites have  $R_i$  values  $\ll R_{sequence}$ . Nonfunctional sites have  $R_i$  values less than or equal to zero (Schneider, 1994, 1997a). Since mutations at splice sites lessen or abolish splicing at those sites, we investigated whether the  $R_i$  values of mutant splice sites

were related to defects in mRNA processing and whether mutant, cryptic, and the corresponding natural splice sites could be ordered based on their respective  $R_i$  values.

## MATERIALS AND METHODS

### Individual information analysis

Information content is defined as the number of choices needed to describe a sequence pattern, using a logarithmic scale in bits (Schneider et al., 1986; Schneider, 1995). A set of either donor or acceptor splice junction recognition sites are aligned and the frequencies of bases at each position are determined. The weight matrix used to model the splice junctions is computed from

$$R_{iw}(b,l) = 2 - (-\log_2 f(b,l) + e(n(l))) \text{ (bits per base)} \quad (1)$$

where  $f(b,l)$  is the frequency of each base  $b$  at position  $l$  in the aligned binding site sequences and  $e(n(l))$  is a sample size correction factor (Schneider et al., 1986) for the  $n$  sequences at position  $l$  used to create  $f(b,l)$  (Schneider, 1997a). The matrix,  $R_{iw}(b,l)$ , is a two-dimensional array in which row  $b$  corresponds to one of the four nucleotides in DNA and column  $l$  is the position along the aligned set of splice junction recognition sites. This individual information matrix represents the sequence conservation of each nucleotide, measured in bits of information.  $R_{iw}(b,l)$  can be used to rank-order the sites, to search for new sites, to compare sites with one another, to compare sites to other quantitative data such as DNA-protein binding strength, and to detect errors in databases (Schneider, 1997a,b).

The individual information of a sequence  $j$  is the dot product between the sequence and the weight matrix:

$$R_i(j) = \sum_l \sum_{b=a}^t s(b,l,j) R_{iw}(b,l) \text{ (bits per site)} \quad (2)$$

where  $s(b,l,j)$  is a binary matrix for the  $j$ th sequence, in which cells have a value of 1 for base  $b$  at position  $l$  and a value of 0 elsewhere.

The mean of the distribution of  $R_i$  values of natural sites is  $R_{sequence}$  (Schneider, 1997a,b). The distribution of  $R_i$  values is approximately Gaussian; however, the lower and upper bounds are zero bits and the  $R_i$  value of the consensus sequence.

The null  $R_i$  distribution was determined by creating a random 10,000 nucleotide sequence with a Markov chain process that maintained the same mono- and dinucleotide composition as the human splice junction database (Stephens and Schneider,

1992). The means of the splice donor and acceptor null distributions were, respectively,  $-14.20 \pm 6.88$  and  $-14.67 \pm 7.15$  bits. The probability of observing either a donor or acceptor site with  $R_i > 0$  in this random sequence was 0.02 ( $Z = 2.0$ ).

The effects of nucleotide substitutions can be evaluated by comparing the individual information of the common and variant alleles. The minimum fold change in binding affinity of two sites is  $2^{\Delta R_i}$ , where  $\Delta R_i$  is the difference between their respective individual information contents (Schneider, 1997a).

Computational tools have been developed to investigate and display individual information. The  $R_{iw}(b,l)$  matrices were first computed from a set of 1,799 splice donor and 1,744 acceptor sequences (Stephens and Schneider, 1992). To scan for potential sites or to determine the effects of a sequence change on the normal and neighboring sites, the individual information content of the donor or acceptor motif is computed for every site-length window in the sequence. To assess the effects of various substitutions on a specific donor or acceptor site,  $R_i$  was computed for the normal and variant sites with the program Scan and displayed with MakeWalker, DNAPlot, and Lister (Schneider, 1997b; <http://www-lecb.ncifcrf.gov/~toms/walker>).

The Scan program uses the  $R_{iw}(b,l)$  matrix to evaluate the individual information ( $R_i$ ) at each position in a sequence. For each evaluation, it also computes the number of standard deviations away from  $R_{sequence}$  ( $Z$  score), and the one-tailed probability ( $P$ ) of observing a normal splice site with that value of  $R_i$ . Sequences with  $R_i$  values that are either significantly greater or less than  $R_{sequence}$  have low probabilities of belonging to the natural population of sites.

A *walker* graphically shows the contributions of each position to a binding site. In the display (generated by MakeWalker or Lister), favorable contacts between the spliceosome and a test sequence are indicated by letters that extend upwards; while positions that are predicted to make unfavorable contacts are shown by inverted letters. MakeWalker is interactive and shows one walker at a time, while Lister displays multiple walkers aligned with sequences and annotated by coding regions (e.g., Figs. 1–4).

### Selection of mutations

Human splice site mutations were chosen from published reports for which corresponding genomic sequence data were available. Only a subset of reported mutations could be analyzed, as sufficient intron sequences were often unavailable (<26 nucleotides for acceptor sites, <7 nucleotides for donor sites). To investigate the relationship between

$R_i$  value and splice site use, studies that evaluated expression of the mutant mRNA were selected whenever possible. A sequence interval (>100 nucleotides) surrounding the splice junction was scanned to detect potential cryptic splice sites in the vicinity of the natural site. Larger sequence windows were used for cryptic sites known to occur further away from the natural site (e.g., Table 2, #24).

Two mutations could not be analyzed because there were discrepancies at corresponding splice site sequences from different reports. A mutation in the IVS 10 acceptor of the hexosaminidase B gene could not be analyzed because the natural acceptor site had negative information content in one of the sequences (Neote et al., 1988; Proia, 1988). A similar inconsistency was found in two different versions of the IVS 5 acceptor sequence of the protein kinase C gene (Foster et al., 1985; Soria et al., 1993).

### Statistical analyses

Natural and variant sites with  $R_i > 0$  were compared with  $R_{sequence}$  (Stephens and Schneider, 1992) by using the  $Z$  statistic and associated probability of observing a site with a particular  $R_i$  value (Schneider, 1997a).

Primary mutations for either donor or acceptor sites were analyzed by determining the average differences in  $R_i$  values ( $\Delta \bar{R}_i$ ) of natural versus mutant sequences. Significance was evaluated using a paired  $t$ -test. Mutations in which cryptic splicing was either predicted or demonstrated experimentally were excluded to avoid biasing estimation of  $\Delta \bar{R}_i$ , since cryptic splicing can alter natural splice site use in the absence of a change in the information content of that site.

The observed distributions of the locations of cryptic donor and acceptor sites were compared with a model that assumes that these sites are equally likely to occur upstream or downstream of the natural site. Significance was evaluated with the binomial distribution.

### Relationship of information content to splice site use

Different mutation reports measured splice site use directly by either cDNA sequencing, reverse transcription-PCR, primer-extension, S1 nuclease analyses, or allele-specific hybridization. Direct comparisons of natural and mutant splicing patterns were not always available. In some instances, the effect of the mutation was measured indirectly using Northern hybridization (Table 1, #46, 47, 49; Table 3, #4), antigen immunoprecipitation or protein levels (Table 1, #18, 19, 20, 21, 23, 24, 25, 26,

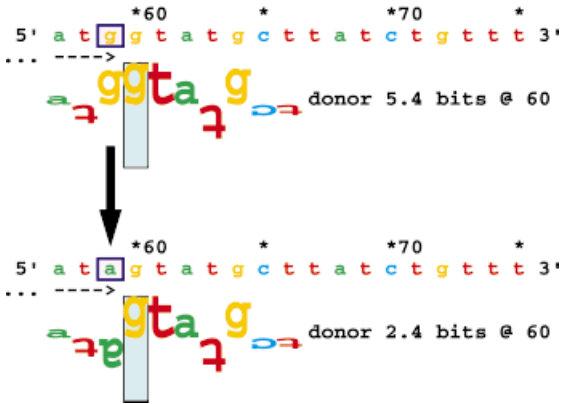


FIGURE 1. A primary splice junction mutation represented by sequence walkers. A G→A mutation 1 nucleotide upstream of the exon 6 donor of the COL1A2 [GenBank accession number M35391] gene results in 50% exon skipping and Ehlers-Danlos syndrome, Type VII (Table 1, #13). This substitution, which significantly reduced the  $R_i$  value, defines the lower threshold of information required for splice site recognition since it is temperature sensitive, being nonfunctional at 39°C but functional at 30°C. The splice sites are shown by walkers [Schneider, 1997b] in which the height of a letter is the contribution of that base to the total conservation of the site. The upper bound of the vertical rectangles is at +2 bits, and their lower bound is at -3 bits. Letters that are upside down and point downwards represent negative contributions. The upper walker shows the normal site; the lower one displays the mutant sequence. The black arrow shows the position of the mutation (boxed). The dashed arrow represents the coding region.

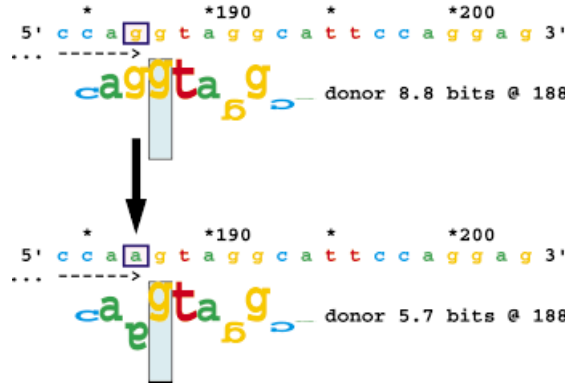


FIGURE 2. A leaky splice junction mutation. A G→A mutation 1 nucleotide upstream of the exon 8 donor site of the lysosomal lipase gene [LIPA; U04292] results in mild cholesterol ester storage disease with 4–9% enzymatic activity (Table 1, #45). The reduction in information content is significant even though the  $R_i$  value is still much greater than  $R_{i,min}$ .

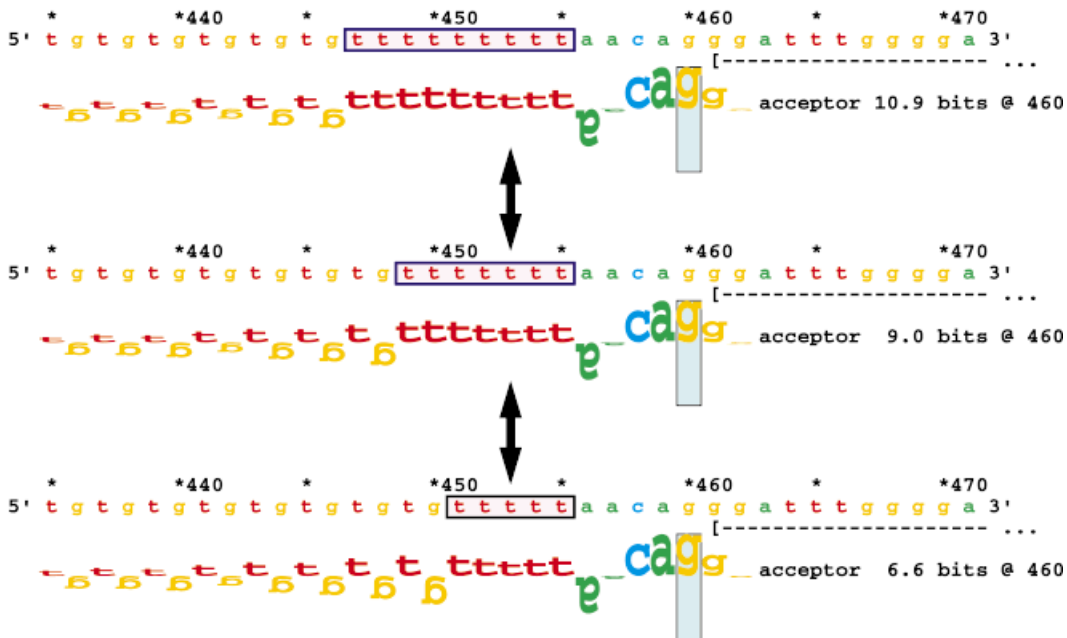


FIGURE 3. Polymorphic variation that affects splicing. Splicing varies among three common alleles that differ in length in the polymorphic polythymidine tract of the IVS 8 acceptor of the gene encoding the cystic fibrosis transmembrane regulator [CFTR; M55114] (Table 1, #6). The shortest allele (bottom walker) shows 90% outsplicing of exon 9 and is associated with congenital absence of the vas deferens. Individuals with the two longer alleles have a normal phenotype, although the 7T allele produces less mRNA than the 9T allele. Exon 9 begins at the base indicated by the left bracket and dashes.

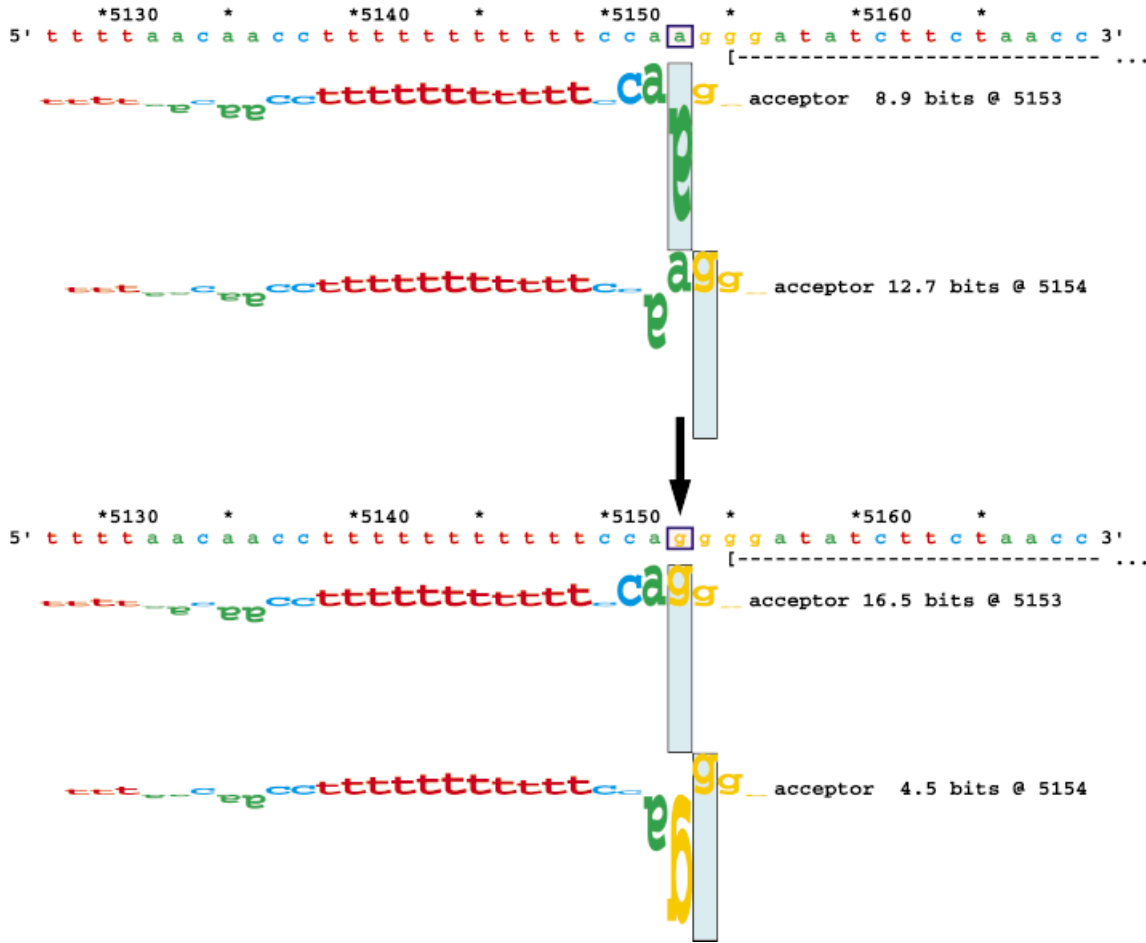


FIGURE 4. Cryptic site creation concurrent with mutation of the natural site. An A→G mutation in intron 3 of the iduronidase synthetase gene [IDS; L35485] significantly decreases the information content of the IVS 3 acceptor while simultaneously creating a strong cryptic site at the position of the mutation, 1 nucleotide upstream from the natural splice junction (Table 2, #27). The upper two walkers show a preexisting cryptic site at position 5153 and a natural site at 5154. The lower two walkers show the activated cryptic site at 5153 and the mutant site at 5154. For simplicity, only sites with greater than 4.3 bits are shown. In addition, a 4.2 bit site that is not used at position 5155, is reduced to 2.5 bits as a consequence of the mutation. The lower bound of the vertical rectangles is at -7 bits.

27, 28, 29, 30, 49; Table 2, #40, 41, 42, 43; Table 3, #2, 3, 4), or measurements of enzymatic activity (Table 1, #18, 19, 20, 21, 23, 24, 25, 26, 27, 28, 29, 30, 34, 35; Table 2, #40, 41, 42, 43; Table 3, #2, 3). Functional analyses of splicing were not reported for mutations #31, 32, 54, and 55 in Table 1, #14, 15, 23, 34–38, and 44, 45, 46, in Table 2, and #1, 7, and 8 (the natural site at 2621) in Table 3.

**RESULTS**

Several categories of mutations were distinguished by individual information analysis. A total of 111 nucleotide substitutions were evaluated. Fifty-seven mutations were nucleotide substitutions that solely altered use of the natural splice site and did not cre-

ate cryptic splice sites (designated as primary splice site mutations, Table 1). Activated cryptic splice sites were predicted for 46 different mutations, 33 of which were corroborated experimentally (Table 2). Eight nucleotide substitutions were predicted not to alter splicing (Table 3).

**Primary mutations in splice junction recognition sequences**

**Differences in information content of natural and mutant splice sites.** Many of the primary splice junction mutants that showed complete exon skipping (residual splicing: -) had  $R_i$  values  $\leq 0$  bits (Table 1, #2, 3, 11, 12, 15, 16, 17, 19, 35). However, there are primary mutant donor and acceptor sites that were



TABLE 1. Information Analysis of Primary Splice Site Mutations

#	Gene [Accession]	Mutant allele, coordinate <sup>a</sup>	Natural site Coordinates	$R_i$ , natural $\rightarrow$ $R_i$ , mutant <sup>b</sup>	Residual splicing <sup>c</sup>	Reference(s)
1	ADA [M13792]	IVS 5, donor T $\rightarrow$ A, 29944	29939	7.25 $\rightarrow$ 5.66	+	Santisteban et al., 1995
2	ADA [M13792]	IVS 8/ Exon 9, acceptor, indel 32839-32851	32850	8.30 $\rightarrow$ -1.99	-	Arredondo-Vega et al., 1994
3	ADA [X02190]	IVS 2, donor, G $\rightarrow$ A, 108	108	12.52 $\rightarrow$ -0.28	-	Arredondo-Vega et al., 1994
4	CAT [X04088]	IVS 4, donor G $\rightarrow$ A, 153	149	6.03 $\rightarrow$ 2.51	-	Wen et al., 1990; Kishimoto et al., 1992
5	CFTR [M55108]	IVS 2, acceptor, C $\rightarrow$ T, 182	184	13.24 $\rightarrow$ 11.58	-	Bienvenu et al., 1994
6	CFTR [M55114]	IVS 8, 5T (mutant acceptor) <sup>d</sup>	458	Ri = 6.56	+	Chu et al., 1993
		IVS 8, 7T (normal acceptor)	460	Ri = 8.97	+	Chillon et al., 1995
7	CFTR [M55127]	IVS 8, 9T (normal acceptor)	462	Ri = 10.62	+	Rave-Harel et al., 1997
8	CFTR [M55118]	Exon 20, donor, G $\rightarrow$ C, 422	422	10.91 $\rightarrow$ 6.87	+	Jones et al., 1992
9	COL1A1 [M20789]	IVS 13, donor, G $\rightarrow$ A, 901	901	10.01 $\rightarrow$ -2.79	-	Audrezet et al., 1993
		IVS 14, donor, G $\rightarrow$ A, 5218	5214	6.23 $\rightarrow$ 2.71	+	Bonadio et al., 1990
10	COL1A1 [M20789]	Exon 6, donor, G $\rightarrow$ A, 3170	3171	8.42 $\rightarrow$ 5.36	+	Sakuraba et al., 1992
11	COL1A2 [M35391]	IVS 6, donor, G $\rightarrow$ T, 60	60	5.41 $\rightarrow$ -2.39	-	Weil et al., 1989a; Sakuraba et al., 1992
12	COL1A2 [M35391]	IVS 6, donor, C $\rightarrow$ T, 61	60	5.41 $\rightarrow$ -2.06	-	Vasan et al., 1991; Watson et al., 1992; Lehmann et al., 1994
13	COL1A2 [M35391]	IVS 6, donor, G $\rightarrow$ A, 59	60	5.41 $\rightarrow$ 2.35	+	Weil et al., 1989b
14	COL1A2 [M64229]	IVS 33, donor, G $\rightarrow$ A, 346	342	6.72 $\rightarrow$ 3.20	-	Ganguly et al., 1991
15	COL3A1 [M55603]	IVS 41, donor, G $\rightarrow$ A, 62	62	12.17 $\rightarrow$ -0.62	-	Cole et al., 1990
16	DMD [L05639]	IVS 26, donor, T $\rightarrow$ G, 307	306	7.46 $\rightarrow$ -0.73	-	Wilton et al., 1994
17	DMD [M86892]	IVS 68, donor, T $\rightarrow$ A, 259	258	4.52 $\rightarrow$ -3.26	-	Roberts et al., 1992; Roberts et al., 1993a
18	F9 [K02402]	Exon 3, donor, G $\rightarrow$ A, 9667	9668	7.59 $\rightarrow$ 4.54	+	Giannelli et al., 1991
19	F9 [K02402]	IVS 1, donor, del 3076-3085	3083	4.60 $\rightarrow$ -14.25	-	Giannelli et al., 1991
20	F9 [K02402]	IVS 1, donor, G $\rightarrow$ A, 3087	3083	4.60 $\rightarrow$ 1.08	-	Giannelli et al., 1991
21	F9 [K02402]	IVS 2, donor, del 9457-9460	9455	7.09 $\rightarrow$ 0.26	-	Giannelli et al., 1991
22	F9 [K02402]	IVS 2, donor, T $\rightarrow$ C, 9460	9455	7.09 $\rightarrow$ 5.67	+	Bortema et al., 1990
23	F9 [K02402]	IVS 3, acceptor, G $\rightarrow$ A, 13356	13356	5.26 $\rightarrow$ -2.32	-	Giannelli et al., 1991
24	F9 [K02402]	IVS 3, donor, T $\rightarrow$ C, 9669	9668	7.59 $\rightarrow$ 0.12	-	Giannelli et al., 1991
25	F9 [K02402]	IVS 3, donor, T $\rightarrow$ G, 9669	9668	7.59 $\rightarrow$ -0.61	-	Giannelli et al., 1991
26	F9 [K02402]	IVS 4, acceptor, del 20625-20628	20633	11.20 $\rightarrow$ 8.46	+	Giannelli et al., 1991
27	F9 [K02402]	IVS 5, donor, G $\rightarrow$ T, 20763	20763	2.42 $\rightarrow$ -5.37	-	Giannelli et al., 1991
28	F9 [K02402]	IVS 6, donor, G $\rightarrow$ A, 23531	23531	5.21 $\rightarrow$ -7.58	-	Giannelli et al., 1991
29	F9 [K02402]	IVS 6, donor, G $\rightarrow$ T, 23531	23531	5.21 $\rightarrow$ -2.58	-	Giannelli et al., 1991
30	F9 [K02402]	IVS 7, acceptor, G $\rightarrow$ A, 33786	33786	4.68 $\rightarrow$ -2.90	-	Giannelli et al., 1991
31	FGFR2 [M80635]	IVS A, acceptor, T $\rightarrow$ G, 67	69	13.97 $\rightarrow$ 9.65	n.i.	Schell et al., 1995
32	FGFR2 [M80635]	Exon B, acceptor, G $\rightarrow$ T, 70	69	13.97 $\rightarrow$ 11.75	n.i.	Schell et al., 1995
33	GBA/GCB [J03059]	IVS 2, donor, G $\rightarrow$ A, 1942	1942	12.73 $\rightarrow$ -0.07	-	He and Grabowski, 1992
34	GH [J03071]	IVS 3, donor, T $\rightarrow$ C, 5990	5985	5.06 $\rightarrow$ 3.64	+	Cogan et al., 1993

35	GH [J03071]	IVS 4, donor, G → C, 6242	6242	8.06 → -1.74	-	Cogan et al., 1993
36	GH [J03071]	IVS 4, donor, G → T, 6242	6242	8.06 → 0.25	-	Phillips and Cogan, 1994
37	GLA [X14448]	IVS 2, donor, T → G, 5270	5269	6.88 → -1.32	-	Eng et al., 1993
38	GLA [X14448]	IVS 6, donor, G → T, 10708	10708	9.21 → 1.41	-	Sakuraba et al., 1992
39	GYPB [M24135]	Exon 3, donor, C → A, 277 & IVS 3, G → T, 280	280	9.10 → -0.88	-	Kudo and Fukuda, 1989
40	HBB [V00499]	IVS 1, acceptor, G → C, 345 <sup>e</sup>	375	9.40 → 2.11	-	Renda et al., 1992
41	HEXA [M16415]	Exon 5, donor, G → A, 127	127	5.70 → 2.64	+	Ozkara et al., 1995
42	HEXA [M16422]	IVS 12, donor, G → C, 107	107	9.78 → -0.01	-	Ohno and Suzuki, 1988
43	HPRT [M26434]	IVS 8, donor, G → A, 40115	40111	9.26 → 5.74	-	Gibbs et al., 1990
44	LFA1 [S75381]	IVS 2, donor, G → C, 18	18	8.64 → 4.24	+	Kishimoto et al., 1992
45	LIPA [U04292]	Exon 8, donor, G → A, 187	188	8.75 → 5.69	+	Klima et al., 1993;
						Muntoni et al., 1995
46	LPL [S71696]	IVS 1, donor, G → C, 14	10	9.72 → -3.07	-	Chimienti et al., 1992
47	LPL [S71696]	IVS 2, acceptor, G → A, 40	40	7.66 → 0.08	-	Hata et al., 1990
48	NF1 [U17681]	IVS 18, donor, G → T, 1429	1429	10.20 → -2.60	-	Purandare et al., 1995
49	OTC [D00227]	IVS 7, donor, T → C, 78	77	6.52 → -0.94	-	Carstens et al., 1991
50	PBGD [M18799]	Exon 1, donor, G → T, 494	495	9.54 → 6.23	+	Grandchamp et al., 1989a
51	PBGD [M18799]	IVS 1, donor, G → A, 495	495	9.54 → -3.25	-	Grandchamp et al., 1989b
52	PKFM [S70308]	IVS 6, acceptor, A → C, 263	264	10.20 → 2.78	+	Grandchamp et al., 1994
53	RB [M27853]	IVS 10, donor, G → T, 376	376	4.28 → -3.52	- <sup>h</sup>	Tsujino et al., 1994
54	RB [M27860]	IVS 19, donor, T → C, 461	460	8.07 → 0.60	n.i. <sup>h</sup>	Yandell et al., 1989
55	RB [M27862]	IVS 20, acceptor, A → G, 258	259	5.36 → 2.14	n.i.	Yandell et al., 1989
56	VWF [M25864]	IVS 50, donor, G → T, 915	913	9.41 → 5.31	+	Mertes et al., 1994
57	WT1 [X51630]	Exon 3, donor, del 1594-1619	1600	7.41 → -5.33	- <sup>h</sup>	Haber et al., 1990

<sup>a</sup>The coordinate is the numerical location of the base in GenBank sequence [Schneider et al., 1982]. IVS and exon indicate the intronic or exonic location of the mutation.

<sup>b</sup> $R_i$ , natural, the individual information value of the natural splice site;  $R_i$ , mutant, the individual information value of the mutated splice site.

<sup>c</sup>+, mutation does not abolish natural splice site use (see Methods); -, absence of normally spliced mRNA or function protein; n.i., no information reported.

<sup>d</sup>#6; polymorphic alleles; 5T, 7T, 9T, refer to the length of the polythymidine tract.

<sup>e</sup>#40; natural cryptic site at coordinate 382 is strengthened by mutation, 3.00 → 4.99 bits.

<sup>f</sup>#42; both exon skipping and intron retention observed.

<sup>g</sup>Appears to activate cryptic sites in exon 7 of 1.61 and 3.21 bits (at positions 20 and 27, respectively [accession # M59724]).

<sup>h</sup>#53, 54, 57: early onset; however, tumors were of somatic origin.

TABLE 2. Information Analysis of Mutations That Result in the Use of Secondary Cryptic Sites

#	Gene [Accession]	Mutation, coordinate	Natural site(s)		Cryptic site(s)		Residual natural splicing	Reference(s)
			coordinate	$R_{i,natural} \rightarrow R_{i,mutant}^a$	coordinate	$R_{i,natural} \rightarrow R_{i,mutant}^a$		
Experimentally verified cryptic sites								
1	ADA [M13792]	IVS 10, acceptor, G → A, 35066	35099	9.99 → 9.99	35067	1.14 → 9.30	+	Santisteban et al., 1995
2	APOE [M10065]	IVS 3, acceptor, A → G, 3779	3780	10.81 → 2.65	3726	8.37 → 8.37	+	Cladaras et al., 1987
3	CFTR [M55109] [L25269]	IVS 3, acceptor, G → T, 253 donor	252	14.80 → 12.60	495 <sup>b</sup> 677 <sup>c,d</sup>	2.91 → 2.91 4.55 → 4.55	+	Will et al., 1994
4	CFTR [M55127]	IVS 20, donor, G → C, 422	423	10.91 → 6.87	451	1.15 → 1.15	+	Jones et al., 1992
5	CSPB [J03072]	IVS 1, acceptor	1289	6.42 → 6.42	1141	13.42 → 13.42	n.a. <sup>e</sup>	Trapani et al., 1988, Klein et al., 1989
6	CSPB [J03072]	IVS 2, donor	1438	10.95 → 10.95	1556	8.30 → 8.30	n.a. <sup>e</sup>	Trapani et al., 1988, Klein et al., 1989
7	DMD [L05648]	IVS 57 acceptor, G → C, 132	133	11.89 → 4.61	142	3.04 → 4.61	+	Roberts et al., 1993b
8	F12 [M17466]	IVS 13, acceptor, G → A, 3622	3622	5.81 → -1.76	3623	-5.06 → 3.10	-	Schloesser et al., 1995
9	GAA [X55080]	IVS 1, acceptor, T → G, 142	154	13.78 → 11.83	437	9.51 → 9.51	+	Boerkoel et al., 1995, Huie et al., 1994
10	GCK [M93280] [X55079]	IVS 1, cryptic acceptor	4312	7.97 → -3.84	528	9.06 → 9.06	-	Sun et al., 1993a
11	GH [J00148]	IVS 4, donor, del 4313-4327	762	3.74 → -9.05	781	3.96 → 3.96	-	MacLeod et al., 1991
12	HBB [V00499]	Exon 1, donor, G → A, 232	246	5.66 → 5.66	230	7.61 → 8.01	+	Orkin et al., 1982
13	HBB [V00499]	Exon 1, donor, G → C, 245	246	5.66 → 1.62	230	7.61 → 7.61	-	Treisman et al., 1983, Vidaud et al., 1989
14	HBB [V00499]	Exon 1, donor, G → T, 235	246	5.66 → 5.66	230	7.61 → 8.83	n.i. <sup>h</sup>	Orkin et al., 1982
15	HBB [V00499]	Exon 1, donor, T → A, 228	246	5.66 → 5.66	230	7.61 → 9.73	n.i. <sup>h</sup>	Goldsmith et al., 1983
16	HBB [V00499]	IVS 1, acceptor, G → A, 355	376	9.40 → 9.69	355	1.44 → 4.89	+	Spritz et al., 1981
17	HBB [V00499]	IVS 1, donor, G → A, 250	246	5.66 → 2.14	230	7.61 → 7.61	-	Lapoumeroulie et al., 1987
18	HBB [V00499]	IVS 1, donor, G → C, 246	246	5.66 → -4.13	230	7.61 → 7.61	-	Vidaud et al., 1989
19	HBB [V00499]	IVS 1, donor, G → C, 250	246	5.66 → 1.71	258	2.53 → 2.53	-	Treisman et al., 1983
20	HBB [V00499]	IVS 1, donor, G → T, 250	246	5.66 → 1.75	230	7.61 → 7.61	+	Atweh et al., 1987
21	HBB [V00499]	IVS 1, donor, T → C, 251	246	5.66 → 4.24	230	7.61 → 7.61	+	Treisman et al., 1983
22	HBB [V00499]	IVS 1, donor, T → G, 247	246	5.66 → -2.54	230	7.61 → 7.61	-	Chibani et al., 1988
23	HBB [V00499]	IVS 1, acceptor, T → G, 361	375	9.40 → 7.72	361	-3.66 → 5.08	+ <sup>l</sup>	Metherall et al., 1986
24	HBB [V00499]	IVS 2, acceptor, A → G, 1447	1448	13.33 → 5.17	1177	9.69 → 9.69	-	Atweh et al., 1985
25	HPRT [M26434]	IVS 8, acceptor, ATA → TTT, 41451-41453	41454	8.85 → 1.49	41471	2.66 → 4.65	-	Gibbs et al., 1989;
26	IDS [L35485]	Exon 3, donor, C → G, 2858	2882	2.19 → 2.19	41457	2.75 → 7.73 <sup>g</sup>	-	Gibbs et al., 1990
27	IDS [L35485]	IVS 3, acceptor, A → G, 5153	5154	12.70 → 4.54	2856	2.45 → 6.85	-	Jonsson et al., 1995
28	IDS [L35485]	IVS 6, acceptor, A → G, 15750	15751	12.60 → 4.39	5153	8.91 → 16.49	+	Bunge et al., 1993
29	IDS [L35485]	IVS 7, acceptor, G → C, 19093	19093	4.35 → -2.94	15802	5.91 → 5.91	+	Bunge et al., 1993
30	IDS [L35485]	IVS 7, acceptor, T → G, 19086	19093	4.35 → 2.38	19105	-0.15 → 1.40	+	Bunge et al., 1993
					19086	2.55 → 11.30	+	Hopwood et al., 1993



32	PAH [S76376]	IVS 10, acceptor, G → A, 76	86	5.22 → 4.78	77	-5.49 → 2.67	+ <sup>k</sup>	Dworniczak et al., 1991
33	ADA [M13792]	IVS 10, donor, G → A, 34484	34484	10.61 → -2.19	34488	3.22 → 3.22	-	Santisteban et al., 1993
Predicted cryptic splice sites <sup>l</sup>								
34	ALDOB [M15656]	IVS 6, acceptor, G → A, 196	196	9.62 → 2.05	197	-4.23 → 3.92	n.i. <sup>h</sup>	Ali et al., 1994
35	CFTR [M55118]	IVS 13, donor, G → A, 901	901	10.01 → -2.79	905	3.10 → 3.10	n.i. <sup>h</sup>	Audrezet et al., 1993
36	CFTR [M55127]	IVS 19, acceptor, G → A, 266	266	10.24 → 2.67	267	-4.89 → 3.27	n.i. <sup>h</sup>	Audrezet et al., 1993
37	CFTR [M55108]	IVS 2, acceptor, C → T, 182	184	13.24 → 11.58	186	5.10 → 5.74	n.i. <sup>h</sup>	Bienvenu et al., 1994
38	COL2A1 [L10347]	IVS 20, acceptor, A → G, 17128	17129	13.30 → 5.19	17127	4.72 → 5.68	n.i. <sup>h</sup>	Winterpacht et al., 1994 <sup>m</sup>
39	F8C [M88633]	IVS 5, acceptor, A → G, 385	386	13.80 → 5.63	385	-0.65 → 6.92	-	Naylor et al., 1991
40	F9 [K02402]	Exon 5, donor, G → A, 20701	20763	2.42 → 2.42	20699	5.15 → 5.56	+	Giannelli et al., 1991
41	F9 [K02402]	IVS 4, acceptor, A → G, 20632	20633	11.19 → 3.03	20632	-3.04 → 4.53	+	Giannelli et al., 1991
42	F9 [K02402]	IVS 4, acceptor, G → C, 20633	20633	11.19 → 3.91	20635	0.21 → 6.19	-	Giannelli et al., 1991
43	F9 [K02402]	IVS 5, donor, A → G, 20775	20763	2.42 → 2.42	20775	-9.13 → 3.67	+	Giannelli et al., 1991
44	FGFR2 [M80635]	IVS A, acceptor, A → G, 68	69	13.97 → 5.81	68	0.45 → 8.03	n.i.	Schell et al., 1995
45	GLA [X14448]	IVS 5, acceptor, del 10507-10508	10509	12.10 → 3.03	10511	7.91 → 8.56	n.i. <sup>n</sup>	Eng et al., 1993
46	HPRT [M26434]	IVS 1, acceptor, A → T, 14777	14779	8.26 → 2.26	14784	4.40 → 6.55	n.i.	Gibbs et al., 1990

<sup>a</sup>When  $R_i$  values are the same, the substitution has not affected that site.

<sup>b</sup>Cryptic acceptor site created as part of a cryptic exon which begins at 491 in L25269 and terminates at 677 in L25269.

<sup>c</sup>Cryptic donor site activated in conjunction with the above cryptic acceptor.

<sup>d</sup>#3; polymorphic sequence:  $R_i = 3.72$  bits for same cryptic donor site in [HSAC000111] at coordinate 51277.

<sup>e</sup>#5; 6; n.a. not applicable; natural cryptic site; no mutation occurs.

<sup>f</sup>#7; reported cryptic site not present; predicted site at coordinate 142 would also produce in-frame deletion (of 3 rather than 6 amino acids).

<sup>g</sup>#7, 24, 25; predicted by  $R_i$  analysis.

<sup>h</sup>No information reported.

<sup>i</sup>No splicing data, but patient has  $\beta$ -thalassaemia intermedia; the other allele is null. This implies that residual splicing occurs at the natural site.

<sup>j</sup>Cryptic splicing restores reading frame.

<sup>k</sup>Cryptic site use maintains reading frame; enzymatic activity is lost.

<sup>l</sup>Prediction is based on decreased natural  $R_i$  accompanied by increase in  $R_i$  at a previously unrecognized cryptic site.

<sup>m</sup># 38; report predicts a cryptic site at coordinate 17148; its  $R_i, natural \rightarrow R_i, mutant = 0.00 \rightarrow -0.29$  bits.

<sup>n</sup>Natural splicing at the other allele obscures measurement of residual splicing at the mutated site.

TABLE 3. Predicted Nondetrerious Splice Site Substitutions

#	Gene [Accession]	Nucleotide substitution coordinate	Natural coordinate	$R_{i,natural} \rightarrow R_{i,mutant}$	Cryptic coordinate	$R_{i,natural} \rightarrow R_{i,mutant}$	Residual natural splicing	Reference(s)
1	CFTR [M55126]	IVS 18, acceptor, T → C, 169	185	10.59 → 10.46	169	-27.56 → -26.10	n.i. <sup>a</sup>	Audrezeit et al., 1993
2	F9 [K02402]	Exon 5, donor, C → A, 20726	20763	2.42 → 2.42	20726	-16.78 → -19.78	+ <sup>b</sup>	Giannelli et al., 1991
3	F9 [K02402]	IVS 4, donor, A → G, 13477	13471	11.13 → 11.53	13475	4.13 → 3.73	+ <sup>b</sup>	Giannelli et al., 1991
4	OTC [D00227]	IVS 7, donor, A → G, 79	77	6.52 → 6.12	n.a. <sup>c</sup>	n.a. <sup>c</sup>	+	Carstens et al., 1991
5	CYP21 [M12792]	IVS 2, acceptor, C → A, 2333	2345	12.05 → 9.98 <sup>d</sup>	2333	0.70 → 8.54	+ <sup>f</sup>	Higashi et al., 1988
6	CYP21 [M12792]	IVS 2, acceptor, C → G, 2333	2345	12.05 → 10.49 <sup>e</sup>	2333	0.70 → 7.99	+ <sup>f</sup>	Higashi et al., 1988; Day et al., 1996
7	p53 [M14694]	Exon 7, acceptor, A → T, 14008	13999	8.55 → 8.55	14009	-5.00 → +2.41 <sup>g</sup>	n.i. <sup>a</sup>	Hruban et al., 1994
8	SPB [M24461]	Exon 4, donor, C → GAA, 2588	2621	5.91 → 5.91	5754 <sup>h</sup>	1.42 → 1.42 <sup>i</sup>	n.i. <sup>a</sup>	Nogee et al., 1994

<sup>a</sup>n.i., no information reported.

<sup>b</sup>Expression was inferred from clotting times and antigen bound.

<sup>c</sup>n.a., not applicable.

<sup>d</sup>This variant was demonstrated to be a common polymorphism [Higashi et al., 1988].

<sup>e</sup>This report suggests that this site is not recognized; however, it contains more information than mutation # 5.

<sup>f</sup>Relatives of individuals with this variant can be asymptomatic [Day et al., 1996].

<sup>g</sup>The cryptic splice site generated is significantly weaker than the natural site.

<sup>h</sup>Splicing was reported at this cryptic site in exon 8

<sup>i</sup>The mutation in exon 4 is predicted by information analysis not to activate a cryptic site in exon 8.

not used that have mostly small positive  $R_i$  values (Table 1, #4, 5, 14, 20, 21, 24, 36, 38, 40, 43, 47). This suggests that recognition of splice donor and acceptor sites requires more than zero bits.

Mutations that reduce or completely abolish splicing have significantly lower  $R_i$  values than the corresponding natural sites. The average difference in  $R_i$  between primary mutant and natural donor sites is  $\Delta\bar{R}_i = -7.67 \pm 3.95$  bits ( $n = 45$ ), and for acceptor sites it is  $\Delta\bar{R}_i = -5.97 \pm 3.50$  bits ( $n = 12$ ). These differences are significant ( $P < 0.0001$  for both  $\Delta\bar{R}_i$  values).  $R_i$  values of primary acceptor mutations range from a minimum of  $-2.90$  bits to a maximum of  $11.75$  bits; whereas donor mutations have a lower range, from  $-14.25$  to  $6.87$  bits.

We considered the possibility that the strength of a natural splice site (i.e.,  $R_i$  value), might be related to its susceptibility to mutational inactivation. Fifteen of 24 (62%) natural sites in Table 1 with  $R_i$  values  $> R_{sequence}$  were inactivated by mutation or had mutant  $R_i$  values  $\leq 0$ , compared to 22 of 29 (76%) natural sites with  $R_i$  values  $< R_{sequence}$ . Inactivation of splicing is primarily determined by the specific nucleotide substitutions that occur at those sites; however, weak natural splice sites may be more susceptible than strong sites to succumb to mutations that abolish splicing.

**Amount of information required for splicing.** The minimum quantity of information required for splicing,  $R_{i,min}$ , was defined by comparing the  $R_i$  values of inactivating to leaky primary mutations (cryptic splicing mutations were excluded because activation of cryptic sites may affect natural site use).  $R_{i,min}$  is bounded by the maximum information content of a nonfunctional site and the minimum quantity of information required to produce normal transcripts.

The following minimally functional sites had small positive  $R_i$  values: A mutation at the exon 5 donor site ( $5.7 \rightarrow 2.6$  bits) in the HEXA gene results in a low level (3%) of normal mRNA (Table 1, #41). Similarly, a mutation at the exon 4 acceptor site ( $10.8 \rightarrow 2.7$  bits) in the APOE gene results in 5% of normal splicing (Table 2, #2), and a mutation at the IVS 14 donor site ( $6.3 \rightarrow 2.7$  bits) in COL1A1 decreases (by 50–60%) but does not abolish normal splicing (Table 1, #9). Furthermore, a mutant 2.4-bit acceptor site in the IDS gene (Table 2, #30) is associated with a moderately abnormal phenotype (the other allele is null), consistent with production of some normal mRNA. Finally, a mutation at the IVS 6 acceptor in COL1A2 reduces the  $R_i$  value of the splice site from  $5.4$  to  $2.4$  bits and results in a mild form of Ehlers–Danlos (type VII) syndrome due to 50% exon skipping (Table 1, #13; Fig. 1). Splicing at this site is completely impaired in vitro at  $39^\circ\text{C}$

and restored at  $30^\circ\text{C}$ . The temperature sensitivity of this mutation indicates that this 2.4-bit sequence is weakly bound by the spliceosome.

By contrast, mutations at the exon 1 donor splice site in the CAT gene (Table 1, #4;  $6.0 \rightarrow 2.5$  bits), in IVS 33 of COL1A2 (Table 1, #14;  $6.7 \rightarrow 3.2$  bits) completely abolish mRNA splicing. The  $R_i$  value of this COL1A2 mutation is inconsistent with the result found for mutation #13, since the mutation with lower information content would be expected to be inactive. This difference may not be significant depending on the (unknown) precision of the  $R_{iw}(b,l)$  matrix; however, it seems more likely that residual splicing at the mutated site in mutation #14 may not have been detected. Residual splicing was observed at several mutant splice sites with  $R_i$  values greater than 2.4 bits and less than 3.2 bits (Table 1, #9, 41, and 52). These splice junction mutations define a range of values for  $R_{i,min}$  of either donor or acceptor sites. Although the confidence interval around  $R_{i,min}$  is unknown, donor and acceptor splice sites with  $R_i > 2.4$  bits are rarely found in a set of random sequences with human dinucleotide composition ( $P = 0.008$ ). To simplify comparisons between  $R_{i,min}$  and other  $R_i$  values, we use  $R_{i,min} \approx 2.4$  bits.

**Leaky splicing.** To determine whether the information present in a mutant site was related to splice site use, the  $R_i$  values of mutated splice sites that inactivated splicing were compared with  $R_i$  values of leaky splice sites. Completely inactivated sites generally had  $R_i$  values less than  $R_{i,min}$  (e.g., Table 1, #46); whereas mutations with  $R_i$  values greater than  $R_{i,min}$  reduced but generally did not abolish splicing. For example, a G  $\rightarrow$  C point mutation in the exon 2 donor site of the LFA1 gene (Table 1, #44) decreased  $R_i$  from  $8.6$  to  $4.2$  bits, and this mutation is leaky (i.e., 3% of the normal spliced product is detected from this allele [Kishimoto et al., 1989]). Likewise, a patient with mild cholesterol storage disease was homozygous for a donor site mutation in the LIPA gene ( $8.8 \rightarrow 5.7$  bits; Table 1, #45; Fig. 2). Mutations #1, 6, 7, 9, 10, 13, 18, 22, 26, 34, 41, 44, 45, 50, 52, and 56 (Table 1) and #2, 3, 4, 7, 9, 16, 21, 23, 27, 28, 30, 32 and 41 (Table 2), which have  $R_i$  values  $\geq R_{i,min}$ , are leaky at the respective natural splice sites. The average decrease in  $R_i$  values is smaller for primary mutations that result in reduced levels of normally spliced mRNA;  $\Delta\bar{R}_i$  is  $-2.92 \pm 0.98$  bits for donor sites ( $n = 12$ ; versus  $-7.67$  for all donor sites) and  $-4.25 \pm 2.20$  for acceptor sites ( $n = 4$ ; versus  $-5.97$  for all acceptor sites). When cryptic splice site mutations that result in residual splicing at the natural site are considered in addition, the change is negli-

gible:  $\Delta\bar{R}_i = -3.00 \pm 0.98$  bits ( $n = 14$ ) for donor sites and  $\Delta\bar{R}_i = -4.68 \pm 3.29$  bits ( $n = 15$ ) for acceptor sites.

**Quantitative relationship.** The quantitative relationship between splice site use and information content is illustrated by the polymorphic alleles in IVS 8 of the CFTR gene (Table 1, #6; Fig. 3). The frequency of exon 9 skipping is inversely related to the length of the polypyrimidine tract of the upstream acceptor site (Chu et al., 1993; Chillon et al., 1995; Rave-Harel et al., 1997). This is not surprising since the length of a homopolymeric polypyrimidine tract has also been related to splice site strength (Dominski and Kole, 1991). The 4.1-bit difference between the  $R_i$  values of the shortest and longest alleles accounts for the lower amount of spliced mRNA from the shorter allele and is probably related to the phenotype of congenital bilateral absence of the vas deferens in male homozygotes. A 4.1-bit reduction in information would correspond to at least a 17-fold ( $2^{\Delta R_i} = 2^{4.1}$ ) decrease in splicing, assuming minimal conversion of information to energy dissipated (Schneider, 1991b, 1994). This corresponds closely to the relative amounts of mRNA produced by the shortest (5T) and longest (9T) alleles (Chillon et al., 1995).

Only two exceptional mutations were found in which  $R_i \gg R_{i,min}$ , although these sites were reportedly not used (Table 1, #5 [11.6 bits], #43 [5.7 bits]). The minimum predicted decreases of 3- and 11-fold, respectively, in binding affinity would not be expected to completely abolish splicing at these sites. Reduced amounts of splicing can occur at mutant splice sites with  $R_i > R_{i,min}$ , although a modest decrease in  $R_i$  at a splice site can apparently sometimes inactivate splicing.

### Detection of cryptic splice sites

**Categories of cryptic splice sites.**  $R_i$  analysis detected secondary cryptic splice sites that are activated by mutation in or adjacent to the natural primary splice site. This indicates that the  $R_i$  values of activated cryptic sites may be determined with an information model derived from natural splice sites (Stephens and Schneider, 1992). Table 2 shows 33 experimentally identified cryptic sites confirmed by information analysis of the respective genomic sequences (section A), and 13 mutations that were predicted by  $R_i$  analysis to exhibit cryptic splicing (section B). For example, a mutation at position 35066 of the adenosine deaminase gene (Table 2, #1) does not alter the  $R_i$  value of the natural splice site (at 35099), but creates a secondary cryptic site of similar strength at position 35067. There were seven additional mutations in which a new cryptic site was

either created or predicted without altering the  $R_i$  value of natural splice site (Table 2, #12, 14, 15, 26, 31, 40, 43). Activation of cryptic sites can also prevent splicing at natural sites by promoting exon skipping (e.g., in 79% of transcripts resulting from a mutation in the iduronate-2-sulfatase gene; Table 2, #26; [Jonsson et al., 1995]). Exon skipping mutations occurred predominantly at donor splice sites (7 of 8); and in each instance, a cryptic site was created upstream whose  $R_i$  value exceeded or was similar to that of the natural site.

Several types of cryptic splicing mutations were distinguished:

1. The most common category ( $n = 17$ ) showed a concerted increase in information at the cryptic site ( $\Delta\bar{R}_i = +6.17 \pm 2.94$  bits) accompanied by a reduction in the  $R_i$  value at the natural site ( $\Delta\bar{R}_i = -5.92 \pm 3.09$  bits). All of these were acceptor sites (Table 2, #7, 23, 25, 27, 29, 30, 32, 34, 36, 37, 38, 39, 41, 42, 44, 45, 46). The distance between these cryptic and natural splice sites is, on average, 4.3 nucleotides, which would be expected for a mutation that simultaneously alters the  $R_i$  values of both sites. Detection of cryptic sites that overlap the natural site requires sequence analysis of the mRNA, since changes in the size and sequence of the processed transcript are subtle. Use of these cryptic sites would either alter the reading frame or insert or delete one or more codons (e.g., Fig. 4).
2. Novel cryptic sites were created simultaneously with either missense mutations (Table 2, #4, 12, 14, 15) or silent coding substitutions (Table 2, #31). By creating a cryptic site, some of these coding sequence substitutions (Table 2, #35, 36, 37, 38, 40, 43) could also inactivate the natural splice junction or cause frame shifting instead of exon skipping. Cryptic sites that generate mRNAs with in-frame insertions or deletions can also be recognized by  $R_i$  analysis (Allikmets, et al., in press).
3. Mutations that decreased the  $R_i$  value of the natural site resulted in the use of preexisting cryptic sites with  $R_i$  values in the normal range (Table 2, #2, 3, 9, 10, 11, 13, 17, 18, 19, 20, 21, 22, 24, 28, 33). Some residual splicing may occur at a mutated natural site when the sequence change produces mutant and cryptic sites with similar  $R_i$  values (e.g., Table 2, #7). Natural and cryptic sites compete with each other (Treisman et al., 1983; Orkin et al., 1982) when the natural site exhibits either a moderate or no reduction in  $R_i$ .

**Susceptibility to activation.** Of 31 experimentally verified cryptic splicing mutations (Table 2 [Experimentally verified cryptic sites], excluding #5 and 6), there are 19 splice sites whose  $R_i$  values exceeded the cryptic site prior to its activation ( $\Delta\bar{R}_i = 6.65 \pm 3.65$  bits). For the remaining 12 mutations (10 of which involve the same site in HBB), the inactive cryptic sites exceed the natural site by only an  $\Delta\bar{R}_i$  of  $1.66 \pm 0.66$  bits. Furthermore, the differences in  $R_i$  values between natural and cryptic sites prior to mutational activation are much smaller for donor sites ( $\Delta\bar{R}_i = 1.25 \pm 4.68$ ,  $n = 17$  for donors vs.  $\Delta\bar{R}_i = 7.03 \pm 3.59$ ,  $n = 15$  for acceptors). Likewise, cryptic donors were activated by an increase of  $\Delta\bar{R}_i = 3.12 \pm 2.85$  bits ( $n = 5$ ), whereas cryptic acceptor sites were activated by  $\Delta\bar{R}_i = 5.86 \pm 3.27$  bits ( $n = 10$ ). From these observations it would appear that donor sites may be more susceptible to the effects of neighboring cryptic sites.

**Distance effects.** Cryptic sites activated by a mutation that weakens the natural site must reside within a few hundred nucleotides of the natural splice site, since the novel exon is restricted in length (Hawkins, 1988; Berget, 1995). For example, a strong cryptic acceptor in intron 2 of the  $\beta$ -hemoglobin gene is activated by mutations at the exon 3 acceptor 271 nucleotides downstream (Table 2, #24). Mutation at a natural site can, however, activate sites that are further away when a cryptic exon is created. For example, mutation at the exon 3 acceptor of the CFTR gene activates a cryptic, noncoding exon in intron 3 (2,354 nucleotides downstream of exon 3 and 19,329 nucleotides upstream of exon 4; Table 2, #3).

**Exceptions.** Although preexisting or novel cryptic sites with  $R_i$  values less than that of the strongest local splice site were usually not recognized, there were exceptions. Infrequently, a weaker cryptic site can interfere with a natural site, even when the natural site is strengthened by the mutation (e.g., Table 2, #16). For example, activated cryptic sites with  $R_i$  values lower than those of the natural splice site after mutation may sometimes be used (Table 2, #1, 3, 4, 6, 9, 16, 23, 32). In at least one instance (Table 2, #1), a cryptic acceptor site upstream of the natural site is predominantly used despite the fact that both sites have similar  $R_i$  values, which suggests that the cryptic site is recognized first. Conversely, the  $R_i$  value of the exon 1 donor in the  $\beta$ -globin gene is less than that of an upstream cryptic site (Table 2, #12–15, 17–22). However, this cryptic site is not activated unless it is strengthened or the donor is weakened. These exceptions suggest that besides direct competition between the cryptic and natural splice sites, other factors can influence splice site selection.

Another class of exceptional splice sites were those that generated alternatively processed transcripts. Active “cryptic” sites that resided in introns of the CSPB gene had  $R_i$  values in the normal range (Table 2, #5, 6) (Trapani et al., 1988; Klein et al., 1989). They may represent alternative splice sites regulated by other sequence elements that can be present in the adjacent exons (Lavigne et al., 1993; Sun et al., 1993b; Dirksen et al., 1994; Huh and Hynes, 1994; Humphrey et al., 1995) or polypyrimidine tracts (Sun et al., 1993b; Wang et al., 1995).

#### Non-deleterious splice site substitutions

Nucleotide substitutions that do not significantly alter the  $R_i$  value of a natural site are expected to produce functional rather than mutant sites (Rogan and Schneider, 1995). Given that such substitutions are not likely to be deleterious, they may be polymorphic in the germline, as has been shown for a sequence change in an hMSH2 splice acceptor site (Leach et al., 1993). We identified other nucleotide substitutions that did not significantly alter the  $R_i$  value (Table 3):

1. Reported mRNA analyses of substitutions #4 and 5 did not reveal splicing defects that altered the size, structure, or quantity of these transcripts, although these changes had been suggested to affect splicing (Carstens et al., 1991; Higashi et al., 1988; Speiser et al., 1992; Owerbach et al., 1992; Barbat et al., 1995).
2. A C  $\rightarrow$  G substitution 12 nucleotides upstream of the IVS 2 acceptor of the CYP21 gene (Table 3, #6) decreases in  $R_i$  value by only 1.56 bits and mRNA of normal size and quantity was present (Higashi et al., 1988). Asymptomatic individuals with this sequence have been reported (Day et al., 1995, 1996; Schulze et al., 1995), and a comparable  $\Delta R_i$  results from a benign C  $\rightarrow$  A polymorphism at the same position (Table 3, #5).
3. An A  $\rightarrow$  G substitution at the exon 7 donor site of the OTC gene was suggested to cause exon skipping; however, Northern analysis did not show either the size or quantity of mRNA to differ from controls, and the change in  $R_i$  was negligible (Table 3, #4). Since OTC protein was not detected, this patient may harbor a mutation elsewhere.

Splicing patterns for several nucleotide substitutions #1, 2, 3, and 7 (Table 3) were not reported. However, based on information analysis, these changes would not be predicted to alter mRNA splic-



ing. The substitutions either maintain or increase the information content of the natural splice site. The  $R_i$  values of the proposed cryptic sites for substitutions #1, 2, and 8 were either negative or unchanged, suggesting that they are not activated by these substitutions. A proposed cryptic site in exon 3 of the p53 gene (substitution #7) is significantly weaker than the natural acceptor site (by 6.14 bits) and has an  $R_i$  value only slightly larger than  $R_{i,\min}$ . It would seem unlikely that this cryptic site is preferentially used.

## DISCUSSION

The number of bits in a splice site is related to the amount of splicing at that site. Previously, we demonstrated that a polymorphic splice junction variant caused little change in information (Rogan and Schneider, 1995). The present study extends this finding and shows that mutant splice sites often contain significantly less information than their corresponding natural sites. Further, cryptic splice sites are activated by increases in information or by decreases at the natural splice site, and the information at activated cryptic sites is often comparable to or exceeds the natural site.

### Predicting the effects of mutations

A required step of information analysis is to compute the total information over all positions in a site. This value must then be compared with that of other sites prior to concluding that a substitution that changes a positive to a negative weighting is deleterious (compare Tables 1 and 2 to Table 3). Functional splice sites can have nucleotides with negative weightings (e.g., Fig. 1, position 63) that are offset by strong contributions at other positions (e.g., Fig. 1, position 64), as we have shown for other binding sites (Figure 2 in Schneider, 1997b; Hengen et al., 1997). Statistical analyses of the distributions of point mutations in splice sites are useful (Krawczak et al., 1992) but can sometimes obscure these compensating effects. Within a binding site, the context of a mutation can be as important as the mutation itself.

The difference between the observed value of  $R_{i,\min}$  ( $\sim 2.4$  bits) and its expected value (zero bits) may have a biological basis. However, this difference could also be explained by errors in the database used to create the splice weight matrices (Schneider, 1997b), statistical limitations of the data and matrices, motifs that are different from the majority of sites (Hall and Padgett, 1994), or intrinsic limits to the precision of splice site recognition (Schneider, 1991a). Although the standard deviation of  $R_{\text{sequence}}$  can be determined (Stephens and Schneider, 1992), the confidence intervals on individual  $R_i$  values are unknown. These intervals are expected to be larger at

the lower and upper bounds of the  $R_i$  distribution, where fewer functional splice sites are observed. The existence of a natural site with  $R_i < R_{i,\min}$  (2.2 bits; Table 2, #26) and an exon-skipping mutation with  $R_i > R_{i,\min}$  (3.2 bits; Table 1, #14) suggests that  $R_{i,\min}$  is not known precisely. The error ( $|R_i - R_{i,\min}|$ ) may be as little as 0.2 bits ( $R_i = 2.2$  bits; Table 2, #26), but it might be as much as 2.4 bits ( $R_i = 0$  bits; Schneider, 1997a).

### Susceptibility to mutation

Donor sites may be more susceptible to inactivation than acceptor sites. The  $R_i$  values of mutant donor sites are more likely than mutant acceptors to be less than  $R_{i,\min}$ . Natural donors possess less information than acceptors (Stephens and Schneider, 1992), and the average decrease in information due to mutation at donor sites exceeds the reduction in  $R_i$  at acceptors. Information is also less densely distributed across acceptor splice sites (0.3 bits per nucleotide) than in donor sites (0.8 bits per nucleotide), so changes at acceptors often have a smaller effect on  $R_i$ . Significantly more primary mutations in donor sites ( $n = 45$ ) than acceptor sites ( $n = 12$ ) were found, as has been noted (Krawczak et al., 1992; Nakai and Sakamoto, 1994).

### Cryptic splicing

The  $R_i$  values of most novel cryptic donor sites exceeded or were similar to those of the corresponding natural sites. Although similar results were also inferred from Shapiro–Senapathy consensus values (Krawczak et al., 1992), information analysis detects fewer incorrect cryptic splice sites (O'Neill et al., in press), more accurately discriminates true sites from nonsites, and visually depicts both changes (Fig. 4).

An exon is initially defined by recognizing the acceptor (Berget, 1995). Cryptic acceptor sites occur either upstream ( $n = 9$ ) or downstream ( $n = 7$ ) of the natural site ( $P = 0.4$ ), suggesting that they are not located by scanning (Stephens and Schneider, 1992). The exon definition model predicts that the spliceosome then scans downstream until a strong donor site is located (Robberson et al., 1990; Niwa et al., 1992), so a novel cryptic donor site created downstream of an intact natural site should not be recognized unless the natural site is mutated. In all cases, a decrease in the information content of the natural donor site activated preexisting cryptic sites downstream (Table 2 [Predicted cryptic splice sites]). Furthermore, cryptic donor sites were activated more frequently upstream of the natural site (15 of 20;  $P = 0.02$ ). The idea that the splicing machinery selects for the strongest local acceptor splice site and scans for donors is supported by  $R_i$  analysis.



Nucleotide substitutions within 17 natural acceptor sites have been shown to create or strengthen adjacent cryptic sites that are thereby activated (see Results: Detection of Cryptic Splice Sites). Only acceptors were found, perhaps because the variable polypyrimidine tract potentiates spliceosome recognition at many positions—whereas donor sites have high information density and a nonrepeating sequence pattern (Stephens and Schneider, 1992). For this reason, weaker cryptic sites are often found near natural acceptor sites (e.g., Fig. 4). Mutations involving the natural acceptor sometimes strengthen and activate these cryptic sites. The resulting aberrant exons may in some cases have been misidentified as natural splice products (e.g., Table 2 [Predicted cryptic splice sites]), since their length and sequence would differ by only a few nucleotides from the normal mRNA.

**Conclusion**

We have shown that individual information theory can be used to rank normal and mutant splice junctions. As a consequence, silent polymorphisms can be distinguished from true mutations, changes in individual information are related to splice site use, and activated cryptic splice sites can be detected. These distinctions are possible because the information measure is related to the thermodynamic entropy, and therefore can be connected to the binding energy (Szilard, 1964; Schneider, 1991a,b, 1994). The information in the splice site should be related to the specific binding interaction between the spliceosome and the site (Berg and von Hippel, 1987, 1988a,b; Berg, 1988). However, the relationship is an inequality—the second law of thermodynamics (Schneider, 1991b, 1994)—and can only be explored empirically at this stage. The correlation between information measures and measured thermodynamic parameters is expected to more precisely relate genotypes to phenotypes in genetic disorders.

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